

11/30/99

005702-20050
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Selichi MORI

Serial No: Not assigned

Filed: November 30, 1999

For: NON-VOLATILE SEMICONDUCTOR MEMORY AND
MANUFACTURING METHOD THEREOFJc525 U.S. PTO
109/451619
11/30/99

Box PATENT APPLICATION
 Assistant Commissioner for Patents
 Washington, D.C. 20231

Dear Sir:

Transmitted herewith for filing is the patent application identified above.

- 9 sheet(s) of drawings (formal informal) is(are) enclosed.
- 21 page(s) of specification and 1 page(s) of abstract of the invention are enclosed.
- An assignment of the invention to KABUSHIKI KAISHA TOSHIBA is enclosed will follow.
- An associate power of attorney is enclosed will follow.
- A verified statement to establish small entity status under 37 C.F.R. §§ 1.9 & 1.27 is enclosed.
- Declaration and Power of Attorney is enclosed will follow.
- A certified copy of Japanese Patent Application No. 10-340387 filed November 30, 1998 from which priority is claimed under 35 U.S.C. § 119 is enclosed.
- IDS enclosed (with references).
- Preliminary Amendment is enclosed.

CALCULATION OF FEES								
ITEM		TOTAL NO. OF CLAIMS		NO. OF CLAIMS OVER BASE	LG/SM \$ ENTITY FEE		\$ AMOUNT	\$ FEE
A	TOTAL CLAIMS FEE	18	-20	0	LG=\$18 SM=\$9	\$18	0	
B	INDEPENDENT CLAIMS FEE*	5	-3	2	LG=\$78 SM=\$39	\$78	156	
C	SUBTOTAL - ADDITIONAL CLAIMS FEE (ADD FINAL COLUMN IN LINES A + B)							\$ 156
D	MULTIPLE-DEPENDENT CLAIMS FEE							LARGE ENTITY FEE = \$260 SMALL ENTITY FEE = \$130 \$ 0
E	BASIC FEE							LARGE ENTITY FEE = \$760 SMALL ENTITY FEE = \$380 \$ 760
F	TOTAL FILING FEE (ADD TOTALS FOR LINES C, D, AND E)							\$ 916
G	ASSIGNMENT RECORDING FEE							\$ 40 \$ 40

*LIST INDEPENDENT CLAIMS 1, 7, 10, 13 and 16

"Continued on Second Page"

- A check in the amount of \$ 16 to cover the filing fee is enclosed.
- A check in the amount of \$ 40 to cover Assignment Recordation fee is enclosed.
- The Commissioner is hereby authorized to charge any deficiency for the following fees associated with this communication or credit any overpayment to Deposit Account No. 12-1820. **A copy of this sheet is enclosed.**

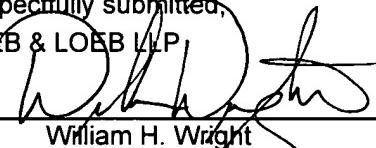
Any additional filing fees required under 37 C.F.R. § 1.16

Any patent application processing fees under 37 C.F.R. § 1.17

Please associate this application with the attorneys of record and with the correspondence address recorded for Customer No. 22335.

Respectfully submitted,

LOEB & LOEB LLP

By: 

William H. Wright

Registration No. 36,312

Attorney for Applicant(s)

Date: November 30, 1999

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U.S. PATENT AND TRADEMARK OFFICE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:
Seiichi MORI
Serial No: Not assigned
Filed: November 30, 1999
For: NON-VOLATILE SEMICONDUCTOR MEMORY
AND MANUFACTURING METHOD THEREOF

Art Unit: Not assigned
Examiner: Not assigned

CERTIFICATE OF MAILING VIA U.S. EXPRESS MAIL
"Express Mail" Mailing Label No. EL 438 938 124 US
Date of Deposit: November 30, 1999

Box PATENT APPLICATION
Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

I hereby certify that

- two copies of a letter of transmittal
- check in amount of \$ 916 as filing fee
- patent application (21 page(s) of specification; 18 claim(s); 1 page(s) of abstract)
- 9 sheet(s) of formal drawings
- executed Declaration and Power of Attorney
- assignment of the invention to KABUSHIKI KAISHA TOSHIBA
- certified copy of Japanese patent application No. 10-340387 which was filed November 30, 1998 from which priority is claimed in the subject case pursuant to 35 U.S.C. § 119
- return postcard

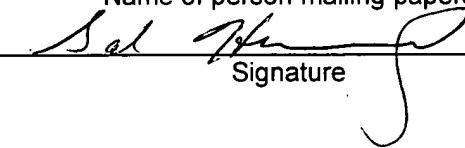
are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service with sufficient postage under 37 C.F.R. § 1.10 on the date indicated above and are addressed to:

Box PATENT APPLICATION
Assistant Commissioner for Patents
Washington, D.C. 20231.

Date: November 30, 1999
10100 Santa Monica Blvd., 22nd Floor
Los Angeles, California 90067-4164
Telephone: 310-282-2000
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Sal Hernandez

Name of person mailing papers


Signature